

ISO 37301 COMPLIANCE MANAGEMENT SYSTEMS LEAD AUDITOR CERTIFICATION

As per International Standards



UNICHROME

Unichrone Training Advantages

- ✓ 4 Day Interactive Instructor –led Online/Classroom or Group Training
- ✓ Course study materials designed by subject matter experts
- ✓ Mock Tests to prepare in a best way
- ✓ Highly qualified, expert & accredited trainers with vast experience
- ✓ Enrich with Industry best practices and case studies and present trends
- ✓ ISO 37301 Compliance Management Systems Lead Auditor course adhered with International Standards
- ✓ End-to-end support via phone, mail, and chat
- ✓ Convenient Weekday/weekend ISO 37301 Compliance Management Systems Lead Auditor Training Course schedule



About Unichrone



We are a professional training institute with an extensive portfolio of professional certification courses. Our training programs are meant for those who want to expand their horizons by acquiring professional certifications across the spectrum. We train small- and medium-sized organizations all around the world, including in USA, Canada, Australia, UK, Ireland and Germany.



Guaranteed Quality



Handpicked Trainers



Global Presence



Online Training Option

We've trained professionals across
global companies

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AXCESS
FINANCIAL PRODUCT MANAGEMENT

CLARIANT

AkerSolutions

WÜRTH

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Atos

LEAR
CORPORATION

Importance of ISO 37301 Compliance Management Systems Lead Auditor Training

- ✓ ISO 37301 Lead Auditor Certification is for professionals competent of conducting audits in the Compliance Management System in accordance with the ISO standard. This certificate empowers organizations to establish a CMS that is systematic with compliance management policies, practices, goals and assumptions. A Compliance Management System Auditor gets well acquainted with compliance audit procedures and principles with this corroboration. A certified ISO 37301 Compliance Professional will have a wealth of knowledge about key principles, rules, and goals of CMS within the ISO 37301 framework.
- ✓ ISO 37301 Lead Auditor Training is oriented towards bestowing advanced adeptness in ISO 37301. It leverages a framework for establishing an imperative Compliance Management System. The course illustrates the predominance of ISO 37301 and the necessity of CMS in business. Professionals gain rigorous knowledge of stakeholder demands, functions of compliance audit, and steps to implement Compliance Management System. ISO 37301 Lead Auditor Training has a panoramic package of course materials with case studies and experiential knowledge.

ELIGIBILITY CRITERIA

- ✓ Aspirants need not meet any requirements to pursue ISO 37301 Lead Auditor Training Course. However, having prior knowledge of the ISO standard is beneficial.

WHO SHOULD ATTEND

- ✓ Any individual who wants to gain knowledge about Compliance Management System can enroll in ISO 37301 Lead Auditor Training course.

ISO 37301 CMS LEAD AUDITOR CERTIFICATION

Advantages



CERTIFIES
YOUR TALENT



HELPS
BUILDING
VALUES



GLOBAL
RECOGNITION



PERFECT
EXECUTION



BUILDS
CUSTOMER
LOYALTY



MORE
EMPLOYABILITY
OPTIONS

Syllabus of ISO 37301 Compliance Management Systems Lead Auditor Training

Lesson 01 – Introduction to ISO 37301

1.	Scope
2.	Normative References
3.	Terms and Definitions

Lesson 02 – Introduction of Compliance

1.	What is Compliance?
2.	Compliance Types

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Lesson 03 – Compliance Department

- | | |
|----|---------------------------------|
| 1. | What is Compliance Monitoring? |
| 2. | Department Members |
| 3. | Functions of Compliance Officer |
| 4. | Common Areas of Compliance |

Lesson 04 – Compliance Management System (CMS)

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|----|---|
| 1. | Compliance Management |
| 2. | Compliance Management System |
| 3. | Elements |
| 4. | Who is Responsible for Compliance Management? |
| 5. | Risk-Based Compliance Management Solutions |

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Lesson 05 – Context of the Organisation

- | | |
|----|---|
| 1. | Understanding the <ul style="list-style-type: none">• Organisation and its Context• Needs and Expectations of Interested Parties |
| 2. | Determining the Scope of the Compliance Management System |
| 3. | Compliance <ul style="list-style-type: none">• Management System• Obligations• Risk Assessment |

Lesson 06 – Leadership

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|----|--|
| 1. | Leadership and Commitment |
| 2. | Compliance Policy |
| 3. | Roles, Responsibilities, and Authorities |

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Lesson 07 – Planning

1.	Actions to Address Risks and Opportunities
2.	Compliance Objectives and Planning to Achieve Them
3.	Planning of Changes

Lesson 08 – Compliance Audit

1.	Purpose
2.	How are Compliance Audits Conducted?
3.	Internal Vs Compliance Audit
4.	Compliance Audit Procedures
5.	Understanding Compliance Auditing
6.	General Principles

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Lesson 09 – Compliance Audit Plan

1.	Audit Universe and Annual Compliance Audit Plans
2.	Apex Auditable Entities and Audit Units
3.	Risk Profiling
4.	Annual Compliance Audit Plans <ul style="list-style-type: none">• Preparing• Components

Lesson 10 – Support

1.	Resources
2.	Competence
3.	Awareness
4.	Communication
5.	Documented Information

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Lesson 11 – Operation

1.	Operational Planning and Control
2.	Establishing Controls and Procedures
3.	Raising Concerns
4.	Investigation Processes

Lesson 12 – Performance Evaluation

1.	Monitoring, Measurement, Analysis, and Evaluation
2.	Internal Audit
3.	Management Review

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Lesson 13 – Planning Compliance Audits

1.	Planning for Individual Compliance Audits
2.	Scope of Audit
3.	Compliance Audit Objectives
4.	Criteria
5.	Materiality
6.	Risk Assessment
7.	Compliance Auditing in Digital Environment
8.	Team Composition
9.	Intimation to the Auditable Entity

Lesson 14 – Create Effective Compliance Programme

1.	Key Elements of an Effective Compliance Programme
2.	Determine Your Compliance Management System
3.	Determine Your Compliance Management System
4.	Reduce Risk
5.	Steps to Create an Effective Compliance Programme

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Lesson 15 – Compliance Monitoring

1.	Monitoring and Auditing
2.	Framework for Monitoring

Lesson 16 – Improvement

1.	Continual Improvement
2.	Nonconformity and Corrective Action
3.	Monitoring Techniques

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Lesson 15 – Implementation Strategies

1.	CSR Implementation
2.	Hohnen's CSR Implementation Framework
3.	CSR Implementation Model
4.	Propositions

Lesson 16 – Introduction to Lead Auditor

1.	Lead Auditor
2.	Role of Lead Auditor

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Lesson 17 – Compliance Auditor

1.	Who is a Compliance Auditor?
2.	Responsibilities
3.	Requirements

Lesson 18 – Conducting Compliance Audits

1.	Audit Evidence
2.	Gathering and Evaluating Evidence
3.	Documentation
4.	Communication with the Auditable Entity

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Lesson 19 – On-Site Audit Activities

1.	Opening Meeting
2.	Document Review
3.	Detailed Site Inspection
4.	Staff Interview
5.	Review Audit Evidence
6.	Closing Meeting

Lesson 20 – Reporting Compliance Audits

1.	Introduction
2.	Forms and Content of Reports <ul style="list-style-type: none">• Inspection Reports• Departmental Appreciation Note• Compliance Audit Report
3.	Follow Up

Exam Format of ISO 37301 Compliance Management Systems Lead Auditor Certification

Examination Format	
Exam Name	ISO 37301 Compliance Management Systems Lead Auditor exam
Exam Format	Multiple Choice, Subjective
Total Questions & Duration	20 Questions, 2 Hours
Passing Score	Minimum passing score of 70%
Exam Cost	Included in training fee

To get you fully prepared with the knowledge and skills for the ISO 37301 Compliance Management Systems Lead Auditor, a training session at Unichrone gives immense importance to mock questions at the end of every module and problem-solving exercises within the session. Prepared by certified ISO faculty, the practice tests are a true simulation of ISO 37301 Compliance Management Systems Lead Auditor exam.

Contact Us

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<https://unichrone.com/>

